

MEETING
SCHOOLS FORUM

DATE AND TIME

THURSDAY, 11TH JULY 2019
AT 4.00 PM

VENUE

SARACENS HIGH SCHOOL
LANACRE AVENUE
COLINDALE
NW9 5FN
(OLD BEST HUB)

TO: MEMBERS OF SCHOOLS FORUM (Quorum 10)

Chairman: Gilbert Knight

Alexander Banks
Andrew McClusky
Annette Long
Anthony Vourou
Curtis Sweetingham
David Byrne
Dr. Matthew Stevens
Gavin Smith
Ian Kingham
Jack Newton
Jo Djora

Vice Chairman: Simon Horne

Joanne Kelly
John Bowra
Jude Stone
Keith Nason
Lucy Harrison
Lucy Rodgers
Luke Bridges
Nigel Taylor
Robin Archibald
Sarah Sands
Sarah Vipond
Ziz Chater

Councillors

David Longstaff

Substitute Members

Ian Stewart
Marc Lewis
Siobhan O'Connell

You are requested to attend the above meeting for which an agenda is attached.

Contact: School Funding Team – schoolfunding@barnet.gov.uk 020 8359 7377

ORDER OF BUSINESS

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a)	Item 7B - School Improvement Grant, 2019/20 and 2020/21 proposals	

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Attended	Name	Representing	Type of Member
Members:	Alexander Banks	Wessex Gardens	Primary Community Headteacher
	Gilbert Knight	Oakleigh	Special School Governor
	Ian Kingham	Oak Lodge	Special Academy Representative
	Siobhan O’Connell	Middx University Nursery	Substitute for Sarah Vipond
	Keith Nason	National Education	Stakeholder- Trade Union
	Lucy Harrison	The Archer Academy	Secondary Free Headteacher
	Luke Bridges	All Saints N20	Primary VA Headteacher
	Marc Lewis	Wren Academy	Substitute for Gavin Smith
	Anthony Vourou	St. Johns N11	Primary VA Governor
	Sarah Sands	Garden Suburb Infants	Primary Community Headteacher
	Simon Horne	Friern Barnet	Community Secondary Headteacher
	Ziz Chater	Dollis Infants	Primary Community Headteacher
	Lucy Rodgers	Colindale	Primary Community Headteacher
	Jack Newton	Underhill	Primary Community Headteacher
	Jo Kelly		PRU
	Dr Matthew Stevens	Saracens High School	Secondary Free School Headteacher
Councillors:	Cllr David Longstaff	Chair of the Children, Education and Safeguarding Committee	
LA Officers:	Ian Harrison	Education and Skills Director	
	Gaspere Nicolini	Senior Finance Business Partner	
	Claire Gray	School Funding Manager	
	Neil Hooper	CIPFA Trainee - Clerk	
Did not attend:	Robin Archibald	Broadfields Academy	Primary Academy Headteacher
	Jo Djora	The Hyde	Primary Academy Headteacher
	Annette Long	Moss Hall Nursery School	Maintained Nursery Headteacher
	John Bowra	Christ’s College Finchley	Secondary Academy Governor
	Curtis Sweetingham	St. Johns N20	Primary VA Headteacher
	Jude Stone	Cromer Road	Primary Community Headteacher
	Sarah Vipond	Middx University Nursery	PVI Nursery providers
	Nigel Taylor	Childs Hill	Primary Community Governor

1. Welcome to new members

There were no new members for this meeting. Ayalah Hirst is no longer a trustee of IJDS academy, and has therefore resigned her position on Schools Forum. Quorum for this meeting is 10 as a result.

2. Apologies

Apologies were received from Sarah Vipond (Siobhan O’Connell attended as substitute), John Bowra, Robin Archibald, Jude Stone, Annette Long (Caron Rudge attended as substitute) and Jo Djora.

3. Declarations of interest

None.

4. Minutes of previous meeting

Minutes agreed as a true and accurate record of the last meeting.

5. Matters arising

None.

6. Items for information

a. 2018/19 Budget Monitoring/ Provisional outturn

GN presented the latest 2018/19 outturn position, highlighting the pressures that exist in the HN block. This continues to be the block that has continuing pressures going forward.

IK acknowledged the HN block pressures, but advised that schools are likely to have budget deficit issues. HN bands/ top up funding have been flat cash for some time and are not covering increased staffing costs. Special schools will continue to come under further pressure moving forward as a result of higher staff:pupil ratios.

HP. The current ARP consultation is part of wider piece of work, in terms of reprioritising and reprofiling the resources available, moving towards a graduated model of provision in Barnet. Those with the lowest need will be in maintained schools, the more complex and severe should be in specialist provision. Further analysis is being taken so that in the future, those with the most severe/complex issues are treated appropriately. Future models, including a 3-year projection will be brought to Schools Forum for discussion.

LR commented that she felt pupils currently in ARPs were in the appropriate provision, but HP advised that as a result of her visits to ARP provision in Barnet, some pupils had more severe/complex needs that might be better met by other specialist provision.

GK commented that parental choice would need to be taken into account. HP agreed, but suggested that work needs to be carried out with parents to ensure children get the best outcomes from accessing the most appropriate provision.

Recommendation:

Schools Forum were asked to note and agree the provision outturn provision as shown.

Agreed, unanimously.

b. 2019/20 Dedicated Schools Grant

S251 Budget lines and benchmarking data

The table on p15 shows the 2019/20 DSG draft budget by s251 line, p17 shows the per pupil benchmarking data and compares Barnet with our statistical neighbours. The data shows members whether Barnet's expenditure shows the authority as an outlier, as this would need to be investigated. Barnet's data is in line with those of comparator boroughs.

MS asked why neighbouring boroughs such as Enfield, Harrow or Brent are not shown for comparison; GN advised that according to the DfE local authority data, the boroughs shown most closely resemble Barnet in population size, deprivation levels etc.

Members asked what 'Direct Payments' cover. These are personal transport budgets or other direct education placement costs.

The contribution to SEN transport is a historic commitment and has remained fixed for a number of years.

KN commented that Barnet has the lowest TU facilities costs compared to statistical neighbours.

SH asked why some comparator authorities spent widely different amounts on top-up in line 1.2.1. GN advised that this depends on the number and mix of academies, special schools and maintained schools. Lines 1.2.1 to 1.2.3 should be looked at as a combined total, as this covers all top-up expenditure.

MS questioned why the Schools Improvement figure was higher than other authorities. IH advised that this was as a result of the increased de-delegation (Learning Network service) approved by Schools Forum previously.

Recommendation:

Members are asked to note s251 budget allocations and benchmarking data.

Noted, unanimous.

c. 2019/20 HN commissioning arrangements and commissioned places

CG presented the first section of this report showing commissioned place numbers – Forum needs to agree the numbers shown. An additional £0.964m will again be received in the 2019/20 HN block, the same additional amount that was received 18/19. This increases the gross HN block, but the DfE recoups funding for HN places funded directly in academies, FE colleges etc.

Place funding for ARPs in maintained schools and maintained special schools do not need to be submitted to the DfE, these are just agreed locally. Only place numbers at academy ARPs and academy special schools are subject to the annual HN place review process administered by the DfE.

Appendix 1 includes new provision at Whitefield and Chalgrove. The place numbers at London Academy ARP, have been revised down which means recoupment from the HN block will reduce as a result. Top-up bands remain the same at present, but a consultation on ARP top-up funding has just been published.

HP highlighted the increasing demand for special school places, and the increase in place numbers at existing special schools. Barnet also has to consider capacity whilst waiting for our next special school to arrive.

Post 16 top-up usually show significant movement in the summer term. Barnet is considering how apprenticeships for young people may affect expenditure. Independent and non-maintained top-up funding is significant, and increasing local specialist provision should reduce this going forward. The current consultation is part of the process to rebalance provision to reduce pressures.

LR advised that she has 11 ARP pupils, not 9 as shown on the commissioned place table. HP advised that the 2 over number would be dealt with at a 'spot purchase' rate.

IK commented on the recent proposal that schools buying into Capita payroll will have 85% transferred into the salaries account, above the level the DfE suggests, and this shows the trend in increasing salary costs in schools. CG advised that the 85% retained figure has been based on analysis of historic staff salary expenditure data.

IK asked if the special school and ARP top-up budget/average top-up cost for schools (Items 1 and 2, Appendix 1) could be provided for comparison purposes. HP agreed to provide these figures at the next meeting.

GK asked if the HN consultation can reach families potentially affected by ARP proposals in future. IH confirmed that legal advice has been taken on stakeholders and the consultation has been published accordingly.

Recommendation:

Schools Forum is asked to note the commissioned place numbers as shown.

Noted and agreed, unanimous.

Members are asked to note the proposal to consult on changes to ARP top-up rates.

Consultation proposals noted and agreed unanimously.

7. AOB

The agenda for the next meeting will include the following:

- i. Scheme for Financing Schools – update/ consultation
- ii. Schools Capital Programme
- iii. High Needs Block 3-year spending projections

IH advised that Item ii will discuss a paper has been presented to Children, Education and Safeguarding committee regarding a High Needs analysis, an updated Special Provision Plan and use of the council's Special Places Fund allocation (~£2.000m capital funding available).

8. Dates of future meetings

- 4pm. Thursday, 11th July 2019
- 4pm. Thursday, 3rd October 2019
- 4pm. Tuesday, 10th December 2019
- 4pm. Thursday, 12th March 2020
- 4pm. Tuesday, 7th July 2020

Meeting closed at 5pm.

ITEM 6A- 2018/19 FINAL OUTTURN

AGENDA ITEM 6a

GASPARE NICOLINI

This report seeks to:

Inform Schools Forum of the end of year position for the Dedicated Schools Grant (DSG) and provide an update of the end of year reserves and provisions position for 2018/19.

- The DSG budget for 2018/19 was revised to take into account the brought forward reserve of £0.501m, an additional £0.500m prior year Early Years adjustment and the extra High Needs funding from Central Government of £0.964m announced in December 2018. The £0.501m carry forward has, as planned, been offset against the position, giving a total underspend of £1.543m.
- The High Needs block was an overspend of £0.246m due to top-up funding for high needs pupils. The high needs funding system supports provision for children and young people with special educational needs and disabilities (SEND) from their early years to age 25, enabling both local authorities and providers to meet their statutory duties under the Children and Families Act 2014. High needs funding is also intended to support good quality Alternative Provision for pre-16 pupils who cannot receive education in schools.
- DSG High Needs funding has not kept up with inflation or the rate of demographic growth in recent years, whereas demand, driven by a mixture of demographic change, the growing complexity of needs and the new framework created by the SEN reforms, has grown significantly. Local authorities across England are facing similar problems and many are known to have faced significant overspending on their High Needs budgets
- The High Needs pressure was partly offset by underspends in the Schools Block. The Growth Fund for expanding schools was underspend by £0.073m, the late conversion of a school to the maintained sector has resulted in a £0.234m underspend.
- Although the Early Years Block underspent by £0.983m, clawback of funding is expected in 2019/20 where there has been a change between January 2018 and January 2019 in early years pupil numbers.

The table below summarises the final 2018/19 DSG position:

	Revised Budget £000	Outturn £000	Variance from Budget Adv/(Fav) £000
Schools			
- Individual Schools Budget	141,227	140,993	(234)
- Growth Fund	897	825	(72)
- Central schools expenditure	1,268	1,268	-
- ESG retained funding	852	852	-
Sub-total	144,245	143,938	(306)
Early Years Block	29,037	28,054	(983)
High Needs Block	45,182	45,428	246
Sub-total	218,464	217,420	(1,043)

DSG Income	(217,963)	(217,963)	-
DSG c/f	(501)	-	501
Early Years 2017/18		(500)	(500)
DSG Total	-	(1,043)	(1,043)

2018/19 RESERVES & PROVISIONS

Description	Reserves b/fwd 01 April 2018	Drawdown	movement	Contribution	Reserves C/fwd 31st March 2019
	£000	£000	£000	£000	£000
Ringfenced					
DSG	501	-	-	1,042	1,543

6B. SCHOOLS – 2018/19 BALANCES AND 2019/20 DEFICIT BUDGETS

GASPARE NICOLINI

This report is to inform Schools Forum of the balances and deficits held by schools at the end of the 2018/19 financial year, and those unable to set balanced budgets in 2019/20.

Balances

- Overall, revenue balances have increased from £12.3m to £12.8m - an average of 5.9% of school revenue income
- Some schools still have very significant balances
- And over half (51/91) saw increases in their balances, with 21/91 seeing increases of over £50,000.
- But 8 schools had end of year deficits, although 4 of these were less than £25,000.
- Two-fifths of schools saw reductions in balances during 18-19 - about half modest reductions; the other half - about one-sixth of schools (14/91) - saw a reduction of greater than £50,000 in their balances during 2018-19

Deficits in 19/20

- 5 schools with licensed deficits and an agreed recovery plan carried forward from 18-19
- Another 6 schools have been unable to set a balanced budget and are in discussions about licensed deficits
- All other schools have submitted balanced budgets - but many have had to make significant savings to achieve this, including redundancies in several schools.

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AGENDA ITEM 6c

6C. THE 2019/20 DSG AND SECTION 251 SUBMISSION

CLAIRE GRAY

The following table shows the first draft of the Dedicated Schools grant allocation for 2019/20 broken down by block. The Schools and Central blocks are confirmed, but the Early Years and High Needs blocks will change throughout the financial year and the revised income and expenditure will be reported to Schools Forum when variations are received.

Block Analysis					
	Gross DSG Income £m	DSG Expenditure £m	Difference	Status	
SchoolsBlock	254.190	254.190	0.000	Balanced	
Central	2.177	2.177	0.000	Balanced	
Early Years	29.051	29.051	0.000	Balanced	
HighNeeds	49.977	51.520	1.543	Overspent	B/fwd from 18/19
Post16	5.000	5.000	0.000	Balanced	
Total DSG	340.395	341.938	1.543	Overspent	B/fwd from 18/19

Appendix III shows the DSG schools budget submission in section 251 format, but future DSG budget monitoring for 2019/20 will be reported by block.

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AGENDA ITEM 6d

6D. TEACHERS' PAY GRANT & TEACHERS' PENSION GRANT

CLAIRE GRAY

The School Teachers' Pay Grant is confirmed for April 2019 - March 2020, but not beyond. Local authorities receive and pay allocations for mainstream schools and special academies/ special free schools. The ESFA pays the grant to mainstream academies directly.

The Teachers' Pension Grant - Maintained schools are due to receive allocations covering September 2019 – March 2020, anything for 20/21 onwards is subject to the wider government Spending Review. As with the Teachers' Pay Grant, the allocations for special academies/special free schools will be paid to local authorities for distribution. The ESFA will pay the Teachers' Pension grant to mainstream academies directly.

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Schools Capital Programme – Specialist Provision Fund

1. Purpose of Report

- 1.1 The purpose of this report is to provide the Schools Forum with an update on the Specialist Provision Fund and the consultation the council is undertaking in relation to its plans to develop additional specialist places for children with special educational needs who have Education, Health and Care plans and its planned use of the Specialist Provision Fund.

2. Introduction

- 2.1 In 2017 the government announced the establishment of a one-off pot of capital investment funding, the Special Provision Fund, for local authorities to use to provide more specialist school places for children and young people with special educational needs with high levels of need.
- 2.2 Barnet's initial allocation was £3million spread over three years from 2018 (£1million each year). Further announcements by the Department for Education in 2018 resulted in an increased allocation to a total of £5.1million.
- 2.3 Following consultation on the council's SEND Strategy between November 2017 and January 2018, the council published its plans for developing specialist provision and for the use of its Special Provision Fund allocation on the Local Offer website.
- 2.4 The council has now reviewed its plans for allocating the Special Provision Fund to different projects considering the increase in the fund from £3million to £5.1million and in the light of a needs analysis and Sufficiency Review, as outlined below.

3. Needs Analysis and Sufficiency Review

- 3.1 Barnet pupils with Education, Health and Care Plans attend mainstream schools, Additional Resource Provisions (specialist units for pupils with special educational needs that are on the site of mainstream schools) or Special Schools. A number attend independent and out-of-Borough schools and this is often because the specialist provision they require is not available in Barnet. We currently have six special schools in Barnet, and 13 Additional Resource Provisions.
- 3.2 As part of the review of our provision, we have undertaken a Needs Analysis to help us to plan for our future provision in Barnet, making sure we have enough and the right type of provision so that we can meet the needs of our children and young people with special educational needs in Barnet.
- 3.3 Our proposals have been informed by looking at the future gap between the current (January 2019) number of places we have in mainstream schools, Additional Resource Provisions and Special Schools and a forecast of the number of children and young people we expect to have in Barnet between 2020 and 2029.
- 3.4 We have looked at the number of children and young people with an Education, Health and Care Plan in different age groups over the last three years. The number of children in each year has been taken from a set of data from the Department for Education (DfE).

- 3.5 The following tables shows the number of children and young people with an Education, Health and Care Plan (EHCP) over the last three years, and the proportion of children and young people in Barnet with an EHCP for the different age groups.

Table 1: Number of Children and Young People with an EHCP

Year	0-4	5-18	19+	Total
2017	67	1882	276	2225
2018	71	1996	370	2437
2019	91	2075	237	2403

Table 2: Rate of SEND (EHCPs) as proportion of Barnet's population

Year	0-4	5-18	19+	Total
2017	0.25%	2.72%	0.85%	1.7%
2018	0.27%	2.83%	1.13%	1.9%
2019	0.34%	2.90%	0.72%	1.8%

- 3.6 We have also looked at the rate of growth in the number of Education, Health and Care Plans over the last three years, and we have assumed that for the next three years, the growth rate will be slower. This is because, after an initial surge when the SEND reforms were introduced in 2014, those pupils who entered the primary and secondary phases of education at that time will gradually work through the system (for example, those starting primary school in 2014 will be going into secondary school, and those in secondary school in 2014 would have left by 2021).
- 3.7 We have applied these assumed growth rates in the number of children with EHCPs to the Greater London Authority's (GLA's) population forecasts¹ for Barnet. These forecasts incorporate growth (or reduction) in population due to migration, births and deaths and incorporate planned housing developments.
- 3.8 For the 19+ cohort of young people with special educational needs, we have assumed a more significant slowing down of growth based on the recent figures for new EHCPs for this age group.
- 3.9 We have then broken down the total number of Education, Health and Care Plans into Special Educational Needs area of need, type of provision, and early years/primary/secondary/19+. The breakdown was calculated assuming that the 2019 distribution of SEND areas of need and the type of provision that we have in Barnet to meet those needs (Special schools, Additional Resource Provisions and mainstream schools) ARP, would remain the same as now.
- 3.10 The following table shows what type of provision children and young people in Barnet who have an Education, Health and Care Plan are attending and how many are attending the provisions.

¹ GLA 2016-based Demographic Projections, London Ward population projections Housing-led model, accessed from: https://data.london.gov.uk/download/projections/0b73a55d-fb0a-4dfc-b23f-2b4c1ab35975/ward_housing_led_2016_base.xlsx

Table 3: Numbers of children and young people by type of provision

Type of provision	0-4	5-18	19+
Early Years	7	1	0
Additional Resource Provision	7	366	2
Mainstream	40	760	10
Special schools and alternative provision including the Pupil Referral Unit	29	709	29
FE and apprenticeships	0	147	169
Other/not recorded	8	92	27
Total	83	1983	210

- 3.11 The following table shows the number of children and young people with an Education, Health and Care Plan according to their main area of special educational need. Children’s special educational needs are generally defined in the four broad areas of need: Cognition and learning; Communication and Interaction; Social, emotional and mental health (SEMH) and sensory and/or physical needs (SEND Code of Practice 0 – 25 years, paragraphs 5.32 and 6.28).

Table 4: Numbers of children and young people by main type of need

Area of need	0-4	5-18	19+
Cognition and learning	10	326	67
Communication and interaction	65	1166	112
SEMH	2	299	23
Sensory and/or physical needs	10	233	32
Other/not recorded	4	51	3
Total	87	2059	235

4. Recent developments of additional special educational provision in Barnet

- 4.1 In the last year, two new Additional Resource Provisions at Coppetts Wood school and Chalgrove school have opened. These are for children with who have an Education, Health and Care Plan with their main special educational need being Autism.
- 4.2 There is a new Additional Resource Provision for secondary aged young people with an Education, Health and Care Plan for Autism opening at Whitefield school in September 2019.
- 4.3 Kisharon school became a Special Free School in September 2018, expanding their places for young people with Autism and Learning difficulties from 2020.
- 4.4 Investment has been agreed for Oakleigh Special School to improve their facilities (the hydrotherapy pool).
- 4.4 An additional 45 post-16 places were commissioned in 2018, 29 of these at Barnet and Southgate College and 16 at Oakbridge (a separate Specialist Post-16 institution managed by Oak Lodge Special School).

4.5 There are continued discussions on a site for a new Special Free School which will provide 90 places all through for children and young people with Autism (part of the Oak Lodge Special Academy Trust).

5. Number of additional SEN places we need

5.1 Taking account of what our data is telling us, the following tables show the number of additional special educational needs places we need in Barnet over the coming years.

5.2 As an example, in Table 5 below, in 2020 we will need one additional specialist provision place for Communication and Interaction needs for 0 – 4 year olds compared to the provision we currently have for this age group.

5.3 For ease of presentation, we have divided the types of need into “Communication and Interaction needs” and “Other needs” (“Other needs” include Cognition and learning, Sensory and/or physical needs and SEMH – Social, Emotional and Mental Health). This is because we know that our main areas of special educational need in Barnet are in the areas of “Communication and Interaction” needs, including speech, language and communication and Autism.

5.4 We are doing further detailed analysis of what we have and what we need in Barnet for some aspects of the “Other needs” category, particularly SEMH and Hearing Impairment. A lot of this work is around making sure we have a joined-up approach and that we are making best use of our existing resources.

Table 5: Early years (0-4) – Additional places needed in each year compared to 2019

	Communication and interaction needs	Other SEND
2020	1	0
2021	3	2
2022	4	2
2023	4	2
2024	4	2
2029	3	1

Table 6: Age 5-18 – special school places and ARP places – Additional places needed in each year compared to 2019

	Primary		Secondary	
	Communication and interaction needs	Other SEND	Communication and interaction needs	Other SEND
2020	9	6	10	8
2021	19	14	21	16
2022	27	19	29	22
2023	32	23	35	27
2024	36	24	39	30
2029	33	22	38	31

Table 7: Age 5-18 – special school places only – Additional places needed in each year compared to 2019

	Primary		Secondary	
	Communication and interaction needs	Other SEND	Communication and interaction needs	Other SEND
2020	7	5	7	6
2021	14	11	15	12
2022	20	15	21	17
2023	24	18	25	21
2024	27	19	29	24
2029	26	17	29	25

Table 8: Age 5-18 – ARP places only – Additional places needed in each year compared to 2019

	Primary		Secondary	
	Communication and interaction needs	Other SEND	Communication and interaction needs	Other SEND
2020	2	1	3	2
2021	5	3	6	4
2022	7	4	8	5
2023	8	5	9	6
2024	8	5	10	7
2029	8	5	9	6

Table 9: Age 19+ – Additional places needed in each year compared to 2019

	Communication and interaction needs	Other SEND
2020	18	15
2021	17	14
2022	17	13
2023	16	13
2024	16	13
2029	24	19

6. Consultation on proposals for specialist places and use of the Special Provision Plan

- 6.1 The DfE requires local authorities to keep their specialist places plans under regular review and to consult stakeholders on their plans for the development of specialist places and use of the Special Provision fund. The council launched such a consultation on the Local Offer on 18 June. The consultation can be found here:

<https://engage.barnet.gov.uk/barnet-special-educational-places-plan>

This report to the Schools Forum reflects what is in the consultation document.

6.2 The balance of provision

6.2.1 In forecasting the future need we have assumed that, like now, the majority of children and young people with special educational needs will have their needs met in a mainstream school (this is in line with the expectations of the SEND Code of Practice 0 – 25 years). We are also assuming that the ratios of children and young people with special educational needs in the different types of provision (Special schools, Additional Resource Provisions and mainstream schools) will stay roughly the same in the future.

6.2.2 What this means is that for the majority of children and young people with special educational needs, including those with an Education, Health and Care Plan, they will have their needs met in mainstream schools. For some children and young people with greater needs, they will have their needs met in an Additional Resource Provision (ARP), and for those with the most complex special educational needs, they will have their needs met in Special schools.

6.2.3 In our consultation, we asked stakeholders:

- To what extent do you agree with our proposal to aim to have the majority of children and young people with special educational needs, including those with ECHPs, in mainstream schools?
- To what extent do you agree with our proposal that for some children and young people with greater needs, they will have their needs met in an Additional Resource Provision (ARP)?
- To what extent do you agree with our proposal that for children with the most complex special educational needs they will have their needs met in Special schools?

6.3 Developing places locally

6.3.1 We know that for children and young people with special educational needs, connections with their local community during the school years and beyond are important to them and their families, and help to prepare young people for adulthood and independent living.

6.3.2 In order to offer more choice in Barnet for families of children and young people who have special educational needs, we have included plans to be able to reduce the number of pupils moving to out of borough and independent schools by having enough high-quality special educational needs places in Barnet.

6.3.3 In our consultation, we asked stakeholders:

- To what extent do you agree with our proposal that we should aim to offer more high-quality specialist places (ARPs and Special school places) locally, i.e. in Barnet, in order to reduce reliance on, and placements in, out-borough and independent schools?

6.4 Proposals for meeting the need for extra specialist places in Barnet

6.4.1 Taking account of the need for additional specialist places set out in the tables in Section 5, we have considered in the table in Appendix 1:

- a) Plans to expand existing provision so that we have more places in Additional Resource Provisions and Special Schools in Barnet, and

- b) The additional specialist places we need to create or secure in order to meet any outstanding need, including extra specialist places we may need in the short-term pending the establishment of the Windmill Special Free School in 2023 and/or in case that provision is delayed beyond 2024.

6.4.2 In our consultation, we asked stakeholders:

- To what extent do you agree with our proposals for meeting the need for extra specialist SEN places in Barnet, as set out in Appendix 1?

6.5 Proposals for allocating the SEN Provision Fund

6.5.1 The table in Appendix 2 sets out the 2018 plan (in column 3) and the revised, 2019, plan (in column 4) for allocating the Special Provision Fund to different projects.

The key points in this plan are:

- The updated allocation is £5,102,080.
- The 2018 figures were the initial estimates at the time.
- The work at Coppetts Wood school and Chalgrove school has been completed, so these are final figures. The total cost figure for the Additional Resource Provision at Whitefield school (£1,952,113) is the updated figure following detail scoping work. The figure will increase once the cost of furniture is added in and will be funded by Council if all the SEN capital grant has been fully committed.
- The costings for the new projects are initial estimates.
- As the Special Provision Fund is capped at £5.1m the remaining cost of the interim provision pending the Windmill will need to be met by the Council.

6.5.2 In our consultation, we asked stakeholders:

- To what extent do you agree with our proposals for allocating the Special Educational Needs Provision Fund to the projects that we have outlined in Appendix 2?
- If you disagree, do you have any other suggestions how to allocate the fund?

7. Recommendation

7.1 The Schools Forum is invited to comment on the proposed approach to the development of specialist provision and the planned use of the Special Provision Fund.

Appendix 1

Barnet Special Plan: Proposals for meeting the need for additional specialist places

Type of provision	Need	Proposal
Early Years (0-4)	Need up to 6 extra special school places by 2021	To secure these at a Special School alongside existing SEND provision for 0-4 year olds.
Primary ARPs	Need 3 extra places by 2020, rising to 8 in 2021, 11 in 2022, 13 in 2023	Meet through the new ARP developments planned (Chalgrove Primary School in the 2018 plan and a proposed ARP at Claremont Primary in 2019).
Secondary ARPs	Need 5 extra places by 2020, rising to 10 in 2021, 13 in 2022, 15 in 2023, 17 in 2024	Meet through Whitefield ARP (planned capacity up to 28).
Primary Special School – ‘communication’ needs	Need 7 extra places in 2020, rising to 14 places by 2021, 20 in 2022, 24 in 2023 and 27 in 2024.	<p>Discussion with a Primary Special School about remodelling of the site in order to create up to an additional 12 places for communication and other needs in 2020.</p> <p>If the Windmill School is established and opened, it will be able to meet this need in due course.</p> <p>In the meantime, it is proposed to explore other possible sites for the development of an interim facility pending the opening of the Windmill or as a long-term alternative if plans for the Windmill School do not go ahead, and to allocate capital funding for any necessary adaptations.</p>
Secondary Special School – ‘communication’ needs.	Need 7 extra places by 2020, rising to 15 in 2021, 21 in 2022, 25 in 2023, 29 in 2024.	<p>If the Windmill School is established and opened, it will be able to meet this need in due course.</p> <p>In the meantime, it is proposed to explore other possible sites for the development of an interim facility pending the opening of the Windmill or as a long-term alternative if plans for the Windmill School do not go ahead, and to allocate capital funding for any necessary adaptations.</p>

Type of provision	Need	Proposal
Primary Special School - 'other' needs	Need 5 extra places in 2020, rising to 11 extra places by 2021, 15 in 2022, 18 in 2023 and 19 in 2024	<p>Discussion with a Primary Special School about remodelling of the site in order to create up to an additional 12 places for communication and other needs in 2020.</p> <p>Additional places will also be available as a result of the expansion of Kisharon Special Free School.</p> <p>If all the extra demand cannot be met through the extra places at the Primary Special School and Kisharon, it is proposed to use extra places provided at the Windmill School and the interim facility indicated above, for pupils whose needs can be met there.</p>
Secondary Special School – 'others' needs.	Need 6 extra places by 2020, rising to 12 in 2021, 17 in 2022, 21 in 2023, 24 in 2024.	<p>Additional places will be available as a result of the expansion of Kisharon Special Free School.</p> <p>If all the extra demand cannot be met through the extra places at Kisharon, it is proposed to use extra places provided at the Windmill School and the interim facility indicated above, for pupils whose needs can be met there.</p>
FE, apprenticeships and post-19	Need 4 additional 19+ special school places by 2021, 24 19+ and 2 16-19 places in FE or apprenticeships from 2020, with the 16-19 places rising to 6 by 2022; none of these rising further.	Meet the need through a mixture of supported internships and placements in the Kisharon sixth form and Barnet and Southgate College.

Appendix 2

Special Provision Plan: Proposals for allocating the SEN Provision Fund to projects

School	Category	2018 Planned use of the Special Provision fund	2019 Planned use of the Special Provision fund	Other investment planned (LBB)	Additional places
Coppetts Wood Primary School	Resourced provision (ASD)	£67,200	£69,844	0	10
Chalgrove Primary School	Resourced provision (ASD)	£560,000	£776,855	0	14
Whitefield Secondary Academy	Resourced provision (ASD)	£702,135	£1,280,381	£671,732	28
Oakleigh Special School	Special provision (SLD)	£1,680,000	£1,680,000	0	0
Claremont Primary School	Resourced provision (ASD)	N/A	£100,000	0	10
Early Years and Primary Special School places	Special provision (SLD)	N/A	£945,000	0	18
Special School places pending the opening of the Windmill Special Free School	Special provision (ASD)	N/A	£250,000	£250,000	40-50
Totals		£3,009,335	£5,102,080	£921,732	120-130

Notes:

1. The updated allocation is £5,102,080
2. The 2018 figures were the initial estimates at the time.
3. The work at Coppetts Wood and Chalgrove has been completed, so these are final figures. The total cost figure for Whitefield (£1,952,113) is the updated figure following detail scoping work. The figure will increase once the cost of furniture is added in and will be funded by LBB if all the SEN capital grant has been fully committed.
4. The costings for the new projects are initial estimates.
5. As the Special Provision Fund is capped at £5.1m the remaining cost of the interim provision pending the Windmill will need to be met by the council.

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1. Purpose of Report

- 1.1 The purpose of this report is to provide the Schools Forum with a three-year projection of the High Needs Block. This is based on a recent needs analysis and Sufficiency review, taking account of increasing numbers of pupils with SEND and rising demand for additional SEND specialist places as set out in the Special Provision Fund proposals.
- 1.2 The following report provides a breakdown of spend for 19/20, income and expenditure over the next three financial years and savings to mitigate some of the overspends.

2. Introduction

- 2.1 In December 2018, the DfE gave an additional £2 billion to local authorities to help manage the increasing demands on their High Needs Block. Barnet received £964,000 for 2018/19 and the same for 2019/20. In 18/19 financial year this covered most of the overspend on the High Needs Block. Prior to this, schools had been asked to support the proposal to move 0.5% of the School block into the High Needs Block to cover the £1.1 million overspend in 18/19. In November 2018, Schools Forum had not supported this proposal, and the council referred the request to the Secretary of State. It subsequently withdrew the request following the announcement of the additional DfE grant.
- 2.2 The following calculations do not include any additional DfE funding beyond 19/20.
- 2.3 The total High Needs Budget is £44.146m. With the additional £964,000 for 18/19 and 19/20, the total for 19/20 is £45.110m. With an estimated 1% increase, and no additional grant, the High Needs Budget for 2020/21 would be £44.587m, 2021/22 £45.033m and in 2022/23 £45.484m.
- 2.4 Budget calculations have been based on the current and projected number of places Barnet needs in mainstream schools, Additional Resource Provisions and Special Schools. The “Special Places Plan” report to Schools Forum (26.6.19) outlines the number of Education, Health and Care Plans for different age groups and types of need and the required increase in specialist places. Forecast spend for out of borough placements, independent/non-maintained, and Other (PRUs, assessment places) have been based on the last 3-years of expenditure in these areas.

3. High Needs Block end of year forecast 2019/2020

3.1 Table 1 below shows the 2019/20 Income and expenditure projections, and savings that need to be made to mitigate the projected in-year overspend.

Table 1: 19/20 Income, expenditure and savings projections

		£m			
2019/20 Gross HN Block income		49.977			
HN block recoupment for ESFA funded places		-4.867			
Net HN Block budget =		45.110			
Expenditure		£m			
Budget area	19/20 Budget	19/20 - current forecast	19/20 identified savings	19/20 additional savings	19/20 End of year forecast
Place funding (Special schools, ARPs and post 16)	6.572	6.560			6.560
ARP top up-funding	2.941	2.941	- 0.072		2.869
Special school top-up funding	7.912	8.212			8.212
Post-16 top-up funding	3.457	3.807		- 0.070	3.737
Mainstream top-up funding	9.252	9.350	- 0.185		9.165
Out of borough	2.531	2.531		- 0.100	2.431
Independent and non-maintained	7.843	7.891		- 0.080	7.811
Other (PRUs; assessment places; etc.)	4.601	4.901	0.100		5.000
Subtotal			- 0.157	- 0.250	
Total expenditure		45.110	46.193		45.786
Income		£m			
Core Grant - 19/20 baseline		44.146			
Estimated 1% increase additional grant		-			
		0.964			
Total income		45.110			
<i>In-year overspend</i>		1.083			
<i>In-year overspend if savings achieved</i>		0.676			

3.2 The current forecast for place funding shows a reduction of £12,000. Although there has been an increase in special school placements and ARP placements this year, totalling £150,000 there has been a reduction in post 16 placements.

3.3

- 3.4 There is an identified saving of £72,000 in ARP top-up funding due to some places in new provisions not being 'ghost' funded. The projected saving for the changes to top-up rates for ARPs was £280,000 for 19/20. Following a consultation, further work is needed on the model for the ARPs and as new special school provision comes on stream, the needs of many of the pupils attending the ARPs will be at a lower level, requiring less support. Currently, many pupils attending the ARPs have significant and complex needs requiring a high level of support.
- 3.5 Special school top up funding has increased with an increase in special school placements.
- 3.6 Although the number of post-16 placements has decreased, the complexity of needs of some of the students requires a high level of adult support. The £120,000 saving in 19/20 against the renegotiated top-up rate for post 16 will not be realised this year as this work has not yet started. Changes to the post-16 funding rate will be implemented from September 2020. There is a saving of £70,000 against the post-16 top up rate which comes from working with Barnet and Southgate College to review some high cost packages.
- 3.7 Some savings have been identified against out of borough and independent/non-maintained expenditure resulting from a reduction in some high cost packages of support.

4. High Needs Block Funding Projections 2020 – 2023

- 4.1 Based on the High Needs place numbers presented in the Special Provision Fund proposals (Appendix 1 of that report), the projections in Table 2 show the impact of the increased place and top-up funding over the 3- year period 2020/21 to 2022/23 compared to the 2019/20 Budget.
- 4.2 Based on the needs analysis, there will be an increase in expenditure on place funding and top-funding for ARPs, special schools and post 16.
- 4.3 The mainstream top-up funding forecast over the 3-year period 2020/21 to 2022/23 is based on the increases over the last 3-years and the number of pupils in mainstream schools who have complex needs requiring a higher level of adult support. In future, it is expected that there will be greater choice of provision for pupils with complex needs and many will have their needs met in specialist in-borough provision.
- 4.4 The expenditure on out borough, independent and non-maintained provision is based on spending over the last 3 years and this figure is likely to decrease as there will be more choice of provision in Barnet for pupils with SEND.
- 4.5 The projections of expenditure of PRUs, assessment places and hospital education funding is based on higher cost packages of support for assessment places due to complexity of need of individual pupils.

Table 2: Expenditure projections 2020 - 2023

	£m						
2019/20 Gross HN Block income	49.977						
HN block recoupment for ESFA funded places	-4.867						
Net HN Block budget =	45.110						
Expenditure	£m						
Budget area	19/20 Budget	20-21 volume increases	20-21 forecast	21-22 volume increases	21-22 forecast	22-23 volume increases	22-23 forecast
Place funding (Special schools, ARPs and post 16)	6.572	0.496	7.068	0.330	7.398	0.246	7.644
ARP top up-funding	2.941	0.107	3.048	0.155	3.203	0.081	3.284
Special school top-up funding	7.912	0.392	8.304	0.423	8.727	0.329	9.057
Post-16 top-up funding	3.457	0.546	4.003	-	4.003	-	4.003
Mainstream top-up funding	9.252	0.304	9.556	0.304	9.860	0.152	10.012
Out of borough	2.531	-	2.531	-	2.531	-	2.531
Independent and non-maintained	7.843	0.100	7.943	0.100	8.043	-	8.043
Other (PRUs; assessment places; etc.)	4.601	0.050	4.651	0.050	4.601	0.050	4.651
Subtotal		1.995		1.262		0.858	
Total expenditure	45.110		47.105		48.367		49.225
Income	£m						
Core Grant - 19/20 baseline	44.146		44.146		44.587		45.033
Estimated 1% increase	-		0.441		0.446		0.450
additional grant	0.964		-		-		-
Total income	45.110		44.587		45.033		45.484
In-year overspend			2.517		3.333		3.741

5. Savings to reduce in-year overspends

- 5.1 Table 3 below shows the savings needed to mitigate some of the volume increases in specialist provision, based on the 2019/20 current forecast as a starting position.
- 5.2 It also shows the reduction of in-year overspends if savings are achieved.
- 5.3 The High Needs block income forecast assumes a 1% increase each year. It does not assume any additional DfE grant after 2019/20.
- 5.4 Savings are shown against the top-up funding for ARPs as a consequence of increasing numbers of pupils placed in the ARPs having a lower level of need and changes made to the existing model.
- 5.5 The post-16 savings are those previously agreed for 2019/20, with the change in funding rates to be implemented from September 2020.
- 5.6 It is anticipated that there will be a decrease in expenditure on top-up rates in mainstream schools due to a reduction in the rate of growth of the number of Education, Health and Care Plans and also a reduction in the amount of support for some pupils, particularly for secondary aged pupils who should have less of a reliance on adult support and greater independence.
- 5.7 Out of borough and independent/non-maintained expenditure is predicted to reduce as parents have greater choice of appropriate provision in borough.

Table 3: Savings needed to reduce over-spends from 2020-21 to 2022-23

	£m										
2019/20 Gross HN Block income	49.977										
HN block recoupment for ESFA funded places	-4.867										
Net HN Block budget =	45.110										
Expenditure	£m										
Budget area	19/20 Budget	19/20 End of year forecast	20-21 volume increases	Target 20-21 savings	20-21 forecast	21-22 volume increases	Target 21-22 savings	21-22 forecast	22-23 volume increases	Target 22-23 savings	22-23 forecast
Place funding (Special schools, ARPs and post 16)	6.572	6.560	0.496		7.056	0.330		7.386	0.246		7.632
ARP top up-funding	2.941	2.941	0.107	0.100	2.948	0.155	0.150	2.953	0.081	0.150	2.884
Special school top-up funding	7.912	8.212	0.392		8.604	0.423		9.027	0.329		9.357
Post-16 top-up funding	3.457	3.807	0.546	0.144	4.209	-		4.209	-		4.209
Mainstream top-up funding	9.252	9.350	0.304	0.180	9.474	0.304	0.192	9.586	0.152	0.116	9.622
Out of borough	2.531	2.531	-	0.104	2.427	-	0.073	2.353	-	0.051	2.302
Independent and non-maintained	7.843	7.891	0.100	0.294	7.697	0.100	0.186	7.611	-	0.185	7.425
Other (PRUs; assessment places; etc.)	4.601	4.901	0.050		4.951	0.050		4.901	0.050		4.951
Subtotal				1.172			0.661			0.356	
Total expenditure	45.110	46.193			47.365			48.026			48.382
											<i>With savings</i>
Income	£m										
Core Grant - 19/20 baseline	44.146				44.146			44.587			45.033
Estimated 1% increase	-				0.441			0.446			0.450
additional grant	0.964				-			-			-
Total income	45.110				44.587			45.033			45.484
<i>In-year overspend if savings achieved</i>		1.083			2.778			2.992			2.898

6. Summary

- 6.1 The current forecast for 2019/20 indicates an in-year overspend of £1,083 million. If the identified savings are achieved, the overspend will be £676,000.
- 6.2 For 2020 – 2023, the forecast cumulative overspend is £9,591 million. If savings are achieved, this will reduce to £8,668.
- 6.3 An injection of additional High Needs funding of £3m a year is needed from 2020-21 to avoid an overspend.
- 6.4 Unless the DfE announces a significant injection of extra High Needs funding, it will be necessary to consider once again asking schools and the Schools Forum to agree to transfer up to 0.5% of the schools' block to the High Needs block each year from 2020-21.

7. Next Steps

- 7.1 Undertake a needs analysis of Social, Emotional and Mental Health (SEMH) for pupils with an Education, Health and Care Plan (EHCP) and those in receipt of SEN Support. This evidence will provide the basis for a review of the offer for AP Barnet and having a clear pathway.
- 7.2 Ensure that the provision and support arrangements in the EHC Plans are robustly reviewed through the Annual Review process.
- 7.3 Ensure robust monitoring and review arrangements for pupils placed in higher cost out of borough/independent placements including a plan to return to local provision.
- 7.4 A working group with membership including representatives from mainstream schools, special schools and ARPs to develop support arrangements for pupils with and without an EHCP who have social communication needs but who do not have additional learning needs.
- 7.5 To undertake a needs analysis of young people placed in residential educational provision and look to develop provision locally.
- 7.6 Continue to develop alternative post-16years pathways, including supported internships.

8. Recommendations to Schools Forum

- 8.1 The Schools Forum is asked to note the report.

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6G. SEND CONSULTATION AND CALL FOR EVIDENCE

Considering the HN funding pressures reported by a significant number of local authorities, the DfE is undertaking a consultation and call for evidence exercise on the funding arrangements for young people with SEND and those who need alternative provision.

Concerns have been raised about the funding allocated for young people with special educational needs and disabilities (SEND) in England, and the DfE is looking carefully at how much overall funding will be needed in future years. This call for evidence is an invitation to consider other aspects of the funding arrangements that:

- Could be changed to help local authorities, schools, colleges and other providers in their support for young people with SEND, those requiring alternative provision (AP) and those at risk of exclusion from school, and
- May not be helping us get the most value from the resources available.

We are advising members of Schools Forum, so that they can respond to the online consultation either as a group or on an individual basis. The papers and consultation can be viewed here:

<https://consult.education.gov.uk/funding-policy-unit/funding-for-send-and-those-who-need-ap-call-for-ev/>

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7A. SCHEME FOR FINANCING SCHOOLS – REVISIONS AND CONSULTATION

GASPARE NICOLINI

1. Purpose of this report

- 1.1. This report summarises the proposed changes to the existing scheme, which was last revised in April 2018.
- 1.2. Issue 10 of statutory guidance from the Department for Education (DfE) for local authorities on schemes for financing schools was published on 5 February 2019. It relates to Section 48 of the [School Standards and Framework Act 1998](#), and Schedule 14 to the Act.
- 1.3. Local Authorities are required to publish schemes for financing schools setting out the financial relationship between them and the schools they maintain. The latest published guidance lists the provisions which a local authority’s scheme must, should or may include. Schemes need not follow the format used in the guidance.
- 1.4. In making any changes to their schemes, Local Authorities must consult all maintained schools in their area and receive the approval of the members of their Schools forum representing maintained schools. Local authorities must take this guidance into account when they revise their schemes, in consultation with the Schools Forum. It is planned to implement the proposed changes from 1 September 2019.
- 1.5. Please comment on the proposed changes to this email address with the header ‘**Scheme Changes**’: schoolfunding@barnet.gov.uk. The period for the consultation is from 12 July 2019 to 31 August 2019.
- 1.6. A copy of the revised scheme is attached at Appendix A.

2. Recommendation

- 2.1. Schools Forum notes the revised Scheme for consultation from 12 July 2019 to 30 September 2019 for proposed implementation from 1 November 2019.

3. Directed Revisions

- 3.1. The Secretary of State may by a direction revise the whole or any part of the scheme as from such date as may be specified in the direction. In order to make a directed revision to schemes, the Secretary of State is required, by provisions in the School Standards and Framework Act 1998, to consult the relevant local authorities and other interested parties.
- 3.2. Certain amendments have been made to the wording included in some of the directed revisions listed below, to reflect more recent policy positions.
- 3.3. Following consultation that closed on 19 March 2012, the Secretary of State directs that from 1 April 2012 the text below should be incorporated into the schemes of all local authorities in England. The revised text was included in the 26 March 2013 version of the guidance.
- 3.4. The most recent directed revisions that have been incorporated are detailed below.

Register of business interests (Section 2.14)

- 3.5. The scheme must contain a provision which requires the governing body of each maintained school to have a register which lists for each member of the governing body and the head teacher:
 - any business interests that they or any member of their immediate family have
 - details of any other educational establishments that they govern; and
 - any relationships between school staff and members of the governing body

- 3.6. And to keep the register up to date with notification of changes and through annual review of entries, to make the register available for inspection by governors, staff and parents, and the authority, and to publish the register, for example on a publicly accessible website.

Schools financial value standard (SFVS) (Section 2.23)

- 3.7. All local authority maintained schools, including nursery schools and pupil referral units (PRUs), that have a delegated budget) must demonstrate compliance with the SFVS and complete the assessment form on an annual basis. It is for the school to determine at which time of the year they wish to complete the form.
- 3.8. Governors must demonstrate compliance through the submission of the SFVS assessment form signed by the chair of governors. The form must include a summary of remedial actions with a clear timetable, ensuring that each action has a specified deadline and an agreed owner. Governors must monitor the progress of these actions to ensure that all actions are cleared within specified deadlines.

Fraud (Section 2.24)

- 3.9. All schools must have a robust system of controls to safeguard themselves against fraudulent or improper use of public money and assets.
- 3.10. The governing body and head teacher must inform all staff of school policies and procedures related to fraud and theft, the controls in place to prevent them and the consequences of breaching those controls. This information must also be included in the induction for new school staff and governors.

Following consultation, the Secretary of State directs that from 19 August 2015 the text below shall be incorporated into the schemes of all local authorities in England

Efficiency and value for money (Section 2.9)

The scheme must include the following provision, which imposes a requirement on schools to achieve efficiencies and value for money, to optimise their resources and invest in teaching and learning, taking into account the purchasing, tendering and contracting requirements.

It is for Heads and Governors to determine at school level how to secure better value for money. There are significant variations in efficiency between similar schools, and so it is important for schools to review their current expenditure, compare it to other schools and think about how to make improvements.

Borrowing by Schools (Section 3.7)

- 3.11. The scheme should contain a provision reminding schools that governing bodies may borrow money (which includes the use of finance leases) only with the written permission of the Secretary of State. The Secretary of State's general position is that schools will only be granted permission for borrowing in exceptional circumstances.
- 3.12. From time to time, however, the Secretary of State may introduce limited schemes in order to meet broader policy objectives. The scheme must contain a provision that allows schools to use any scheme that the Secretary of State has said is available to schools without specific approval, currently including the Salix scheme, which is designed to support energy saving.
- 3.13. Following consultation, the Secretary of State directs that from 22 March 2018 the text below shall be incorporated into the schemes of all local authorities in England.

Loan schemes (Section 4.10)

- 3.14. Loans will only be used to assist schools in spreading the cost over more than one year of large one-off individual items of a capital nature that have a benefit to the school lasting more than one financial or academic year.
- 3.15. Loans will not be used as a means of funding a deficit that has arisen because a school's recurrent costs exceed its current income.
- 3.16. If loans are made to fund a deficit and a school subsequently converts to academy status, the Secretary of State will consider using the power under paragraph 13(4)(d) of Schedule 1 to the Academies Act 2010 to make a direction to the effect that such a loan does not transfer, either in full or part, to the new academy school.

Key changes from Issue 9 (published March 2018) to the latest Issue 10:

- Section 1.1: removed advice that each school must receive a copy of each year's budget and outturn statements so far as they relate to that school or central expenditure.
- Paragraph 1.1.13: removed advice that an authority must publish a statement showing outturn expenditure "at both central level and for each school, and balances held in respect of each school".
- Paragraph 1.5.3: added "It is also possible for the Secretary of State to make directed revisions to schemes after consultation. Such revisions become part of the scheme from the date of the direction".
- Paragraph 2.4.2: added "The scheme should encourage schools to register anything that is portable and attractive, such as a camera".
- Section 2.9: changed title from "Efficiency and Value for Money" to "School Resource Management".
- Paragraph 2.9.1: changed wording, including a change from "achieve efficiencies" to "effective management of resources".
- Section 2.15: removed "A scheme may invite schools to nominate suppliers for inclusion on lists of approved suppliers. The intention is to ensure that schools do not have to be subjected to unreasonable requirements as to authority counter-signature or use of an approved list, but also ensures that they should obtain at least three tenders or quotations for orders above a certain threshold".
- Section 2.15: removed "Authorities may issue lists of approved suppliers; but schools must not be compelled either directly or indirectly to use them. Authorities may wish to point out the advantages of using lists of approved suppliers, eg assurance on health and safety issues"
- Paragraph 2.15.4: added "Schools may seek advice on a range of compliant deals via [Buying for schools](#)".
- Paragraph 2.19.5: removed generic references to legislation "School Premises Regulations and DfE Construction Standards, and health and safety legislation", added references to specific legislation
- Paragraph 2.23.3: removed advice that all local authorities with a delegated budget must submit the schools financial value standard form before 31 March 2013 and annually thereafter; replaced with advice that the form should be submitted before the end of the financial year.
- Paragraph 3.7.4: added "Schemes may also wish to permit the use of credit or charge cards. However, no interest charges should be incurred by the school, with balances fully cleared on a monthly basis. The LA may charge Schools using credit or charge, an administration fee".
- Paragraph 5.2.3: added "However, where land is held by a charitable trust, it will be for the school's trustees to determine the use of any income generated by the land".

- Paragraph 6.3.2: changed “Other expenditure incurred to secure resignations where the school had not followed authority advice” to “Other expenditure incurred to secure resignations where there is good reason to charge this to the school (see Annex D)”
- Paragraph 8.1.2: changed to explain the scheme should contain a provision barring the authority from discriminating in its provision of services on the basis of categories of schools, except in cases where this would be allowable under the school and early years finance regulations or the dedicated schools grant (DSG) conditions of grant
- Paragraph 8.2.1: clarification provided on provisions to limit the term of agreement with a school to buy services or facilities from the authority.
- Paragraph 8.4.1: revised quoted legislation and updated expectation of monthly not annual returns.
- Paragraph 10.1: added “The evidence required to demonstrate the parity of cover should be reasonable, not place an undue burden upon the school, nor act as a barrier to the school exercising their choice of supplier”.
- Section 13.2.1: updated to reflect changes to the Children and Families Act 2014; a school is no longer required to consult before establishing community facilities, and there is no longer a need for a school to be mindful of a local authority’s advice, under section 27 of the Education Act 2002.
- Paragraph 13.8.2: changed “Criminal Records Bureau” to “Disclosure Barring service”

Other Key changes:

- Section 2.19.2: Delegation of funding to clarify the priority of external funding including DFC in financing capital expenditure at Schools.
- Section 3.1: Frequency of instalments as detailed under 3.1.2. Transitional arrangements for 2019-20.
- Section 3.2: Proportion of budget share payable at each instalment, where payroll is carried out by the LA (3.2.1 and 3.2.2)
- Section 4.9: Clarification on Licensed Deficit arrangements, including cash advances where schools are experiencing cash flow difficulties (4.9.2); evidence of proper planning (4.9.9)
- Section 6.3: Charges to budget shares, to include ‘remedial work in building work’(6.3.5)
- Section 12.1: Delegation of funding to clarify the priority of DFC (12.1.3) in financing capital expenditure at Schools. Further exemplifications of repairs and maintenance and capital expenditure at Annex B.
- Section 12.2: Definition of Capital, LA to issue guidance on capitalisation (12.2.2)
- Section 12.3: School responsibilities for Repairs and Maintenance (12.3.1 and 12.3.2) where schools do not meet their duties.
- Annex D: DfE Guidance on Responsibility for Redundancy/Early Retirement Costs

DFE REFERENCE: <https://www.gov.uk/government/publications/schemes-for-financing-schools/schemes-for-financing-local-authority-maintained-schools>

7B. SCHOOL IMPROVEMENT GRANT

AGENDA ITEM 7b

IAN HARRISON

The DfE has confirmed that in October 2019 it will allocate £30 million to local authorities for the school improvement monitoring and brokering grant for the period September 2019 to March 2020. However, there is not yet confirmation whether the grant will continue beyond March 2020.

As discussed with Schools Forum at the meeting in October 2018 and the decision taken on 27th November 2018 after consultation with maintained schools, Barnet advised that if the School Improvement grant continued at a similar level after August 2019, the full amount of funding de-delegated by maintained schools would not be required to support the LNI service for the 2019/20 financial year.

However, it is not possible to reimburse school for de-delegated amounts in 2019/20. DfE guidance on unspent de-delegated funding states that:

Local authorities can carry funding forward to the following funding period and can choose to use it specifically for de-delegated services.

Barnet therefore proposes to add the September 2019 – March 2020 SI Grant allocation to the budget for LNI services in 2019/20, thus creating a DSG underspend in this area. At the end of the 2019/20 financial year, Schools Forum would be consulted on use of this underspend, with Barnet proposing to reduce the SI de-delegation amount charged to maintained schools in 2020/21 by an amount equivalent to the SI underspend.

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7C. 2020/21 NATIONAL FUNDING FORMULA

AGENDA ITEM 7c

CLAIRE GRAY

SCHOOL FUNDING FORMULA 2020-21:

The overall 'Schools Block' funding of LAs will continue to be based on the National Funding Formula.

LAs will be free to distribute funding based on their local funding formula

Barnet will continue to implement the NFF, but with protection against big losses by agreeing a Minimum Funding Guarantee (0% in 19-20) and a cap on gains (+0.5% per pupil in 19-20)

The level of MFG and cap that can be afforded will depend on the outcome of the government's promised Spending Review.

The formula, MFG and cap will be subject to consultation next term

HIGH NEEDS FUNDING

Allocation of an additional £250m of High Needs funding for local authorities 'on top of existing High Needs allocations' - £0.964m for Barnet in each year for 2018-19 and 2019-20. Because of this additional allocation, the council withdrew its application to the Secretary of State to approve the transfer of 0.5% of the Schools Block (about £1.26m) to the High Needs Block in 2019-20. There is no news yet on whether this extra funding will continue beyond this year. If not, we may have to ask again for a transfer of up to 0.5% of the schools' block to the High Needs block. That would be subject to consultation next term. It is understood the amount of High Needs funding from 20-21 will depend on the outcome of the government's promised Spending Review, and the current call for evidence consultation:

<https://consult.education.gov.uk/funding-policy-unit/funding-for-send-and-those-who-need-ap-call-for-ev/>

EDUCATION SERVICES GRANT (ESG)

The Secretary of State approved the proposal to fund £1m of services, previously funded from the Education Services Grant, from the budget shares of maintained primary and secondary schools and this went ahead in 2019-20. Given the reduced funding the continuing pressures on the council's budget receives to maintain schools we will may have to propose the same similar measure for 2020-21. That would be subject to consultation next term.

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9. AGENDA FOR NEXT MEETING

- Schools forum membership review
- Election of chair/ vice chair
- 2019/20 DSG budget monitoring
- Draft consultation – Scheme for Financing Schools
- Draft consultation – School Improvement Grant
- Draft consultation - 2020/21 Barnet Funding Formula

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10. DATES OF FUTURE MEETINGS

AGENDA ITEM 10

~~Thursday, 3rd~~ October 2019 – to be rescheduled

Tuesday, 10th December 2019

Thursday, 12th March 2020

Tuesday, 7th July 2020

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APPENDIX III - DSG BY S251 DETAIL AS SUBMITTED

S251line	S251 Desc	CC Description	Sum of Gross1920	Sum of Recoupment 1920	Sum of Net1920
1.0.1	Individual Schools Budget before Academy recoupment	11441 Post16LearnFund-MaintainSecond	5,000,000	-	5,000,000
		10160 Excluded Pupils	- 80,000	-	80,000
		10284 Funding for 3 & 4 Year Olds	11,650,267	-	11,650,267
		11447 Two Year olds free entitlement	3,332,774	-	3,332,774
		11511 PVI Funding for 3 & 4 year old	11,650,252	-	11,650,252
		11579 Early Years Pupil Premium	137,656	-	137,656
		11647 Disability funding for EY providers	103,935	-	103,935
		10162 Pri Sch Budget Shrs	128,242,231	- 19,118,777	109,123,455
		10163 Sec Sch Budget Shrs	103,118,064	- 78,389,354	24,728,710
		11510 All thru Sch Budget Shares	19,710,578	- 13,116,664	6,593,914
1.0.1 Total			282,865,758	- 110,624,795	172,240,963
1.0.2	HN place funding	10161 SpeSchBudShareEduCFRFundAdvanc	6,146,821	- 2,780,000	3,366,821
		11336 Hospital Funding	541,146	-	541,146
		11392 PRU Base Funding	1,327,500	-	1,327,500
		11438 ARP base funding - all phases	1,437,034	- 708,000	729,034
		88888 High Needs Places FE and Ind	1,379,000	- 1,379,000	-
1.0.2 Total			10,831,501	- 4,867,000	5,964,501
1.1.1	School contingency (de-delegation)	10104 School Contingency	61,421	-	61,421
1.1.1 Total			61,421	-	61,421
1.1.10	School Improvement (de-delegation)	10106 School Improvement (de-delegated)	318,644	-	318,644
1.1.10 Total			318,644	-	318,644
1.1.2	Behaviour Support Services (de-delegation)	11402 HIS Team (Behaviour Support)	77,100	-	77,100
1.1.2 Total			77,100	-	77,100
1.1.3	Support to UPEG and bilingual learners (de-delegation)	11312 Narrowing the Gap DSG	77,656	-	77,656
1.1.3 Total			77,656	-	77,656
1.1.9	Staff costs - TU facility time (de-delegation)	11299 DSG Trade Union Facility Time	47,718	-	47,718
1.1.9 Total			47,718	-	47,718
1.2.1	Top-up funding - maintained schools	10199 Statements-Childen's Centres	-	-	-
		11411 MedPathway-BarnetMaintainedSch	5,000	-	5,000
		11413 SpecialTopup-OOBMaintainSchool	909,000	-	909,000
		11425 PRU top-ups-Maint AP	1,074,000	-	1,074,000
		11431 StmtTopupBarnetMaintainPrimary	4,244,572	-	4,244,572
		11432 ARPTopup-BarnetMaintainPrimary	1,129,522	-	1,129,522
		11433 SpecialTopup-BarnetMaintainSch	4,570,051	-	4,570,051
		11434 ARPTopup-Barnet MaintainSecond	695,382	-	695,382
		11435 StmtTopup-BarnetMaintainSecond	623,009	-	623,009
		11436 StmtBarnetMaintainNurseryClass	12,899	-	12,899
		11437 StmtTopup-OOB Maintain Primary	500,000	-	500,000
		11439 Statements-Barnet Nursery Scho	19,374	-	19,374
		11440 StmtTopup-OOB MaintainedSecond	485,000	-	485,000
1.2.1 Total			14,267,809	-	14,267,809
1.2.11	Direct payments (SEN & Disability)	11549 Direct Payments - SEN & Disabi	480,000	-	480,000
1.2.11 Total			480,000	-	480,000
1.2.2	Top-up funding - academies, free schools and colleges	11416 Statement topups - Post 16 FE	4,392,076	-	4,392,076
		11417 StmtTopup-OOB AcademySecondary	390,000	-	390,000
		11418 StmtTopups-OOB Academy-Primary	64,000	-	64,000
		11420 SpecialTopup-OOBAcademy inclAC	183,000	-	183,000
		11422 ARPTopup-Barnet AcademyPrimary	512,656	-	512,656
		11423 ARP Topup-Barnet AcademySecond	603,438	-	603,438
		11442 StmtTopupBarnet Acad-Secondary	3,311,531	-	3,311,531
		11443 StmtTopup-BarnetAcademyPrimary	787,648	-	787,648
		11451 StmtBarnet-AcademyNurseryClass	-	-	-
		11491 Special top-ups - Barnet Academies	3,342,053	-	3,342,053
1.2.2 Total			13,586,403	-	13,586,403

1.2.3	Top-up and other funding - non-maintained and	11281	Ext Res Care DSG	653,000	-	653,000
		11410	Spec.PackAutismServi	357,801	-	357,801
		11414	Special Topup-NMSS Residential	553,000	-	553,000
		11415	SpeSchFees-IndependentResident	1,500,000	-	1,500,000
		11427	NonM Spec Day TopUps	520,000	-	520,000
		11428	Stmt.topup-Post16Inde.Spe.Prov	608,419	-	608,419
		11429	SpecialSchFees-Independent Day	2,750,000	-	2,750,000
		11444	StmtsPrivate- EarlyYearProvider	30,000	-	30,000
		11445	Statement-Independent Mainstre	1,400,000	-	1,400,000
1.2.3 Total				8,372,220	-	8,372,220
1.2.5	SEN support services	10183	Specialist Team Mgmt	132,770	-	132,770
		10184	Area SENCOs (Early Years)	325,740	-	325,740
		10187	Children in Care	333,750	-	333,750
		10190	Advisory Team	700,160	-	700,160
		10194	Therapy(S</OT/Phy)	780,244	-	780,244
		10195	Oakleigh	371,810	-	371,810
		10222	Comms & Complaints	175,990	-	175,990
		10265	EY & CM Panel	100,000	-	100,000
		10963	SEN Training (Early Years)	17,500	-	17,500
		10977	BEAM	303,140	-	303,140
		11291	Ed Psych Tm DSG	120,770	-	120,770
		10265	EY & CM Panel	400,000	-	400,000
1.2.5 Total				3,761,874	-	3,761,874
1.2.8	Support for inclusion	11431	StmtTopupBarnetMaintainPrimary	150,000		150,000
		11435	StmtTopup-BarnetMaintainSecond	150,000		150,000
1.2.8 Total				300,000		300,000
1.3.1	Central expenditure on children under 5	10238	Early Yrs Standards	410,000	-	410,000
		10285	EYVulnerableChildFund	275,000	-	275,000
		11283	Childrens Centres DSG	304,005	-	304,005
		11306	Troubled Families DSG	145,770	-	145,770
		11650	Additional central expenditure on Under 5s	322,206	-	322,206
		11651	EY contingency	319,457	-	319,457
1.3.1 Total				1,776,438	-	1,776,438
1.4.1	Contribution to combined budgets	10936	Safer Families	183,720	-	183,720
		11296	CAF Team DSG	284,303	-	284,303
1.4.1 Total				468,023	-	468,023
1.4.10	Pupil growth / Infant class sizes	11448	Growth Fund	1,536,339	-	1,536,339
1.4.10 Total				1,536,339	-	1,536,339
1.4.11	SEN transport	10213	SEN Transport (contribution)	400,000	-	400,000
1.4.11 Total				400,000	-	400,000
1.4.14	Other items	10712	Hard to Place Pupils	106,500	-	106,500
		11652	Copyright Licensing	270,362	-	270,362
1.4.14 Total				376,862	-	376,862
1.4.2	School Admissions	10256	Admissions to School	401,200	-	401,200
1.4.2 Total				401,200	-	401,200
1.4.3	Servicing of schools forums	10932	Schools Forum	34,680	-	34,680
1.4.3 Total				34,680	-	34,680
1.5.1	Education Welfare Service	11655	Education Welfare Service	321,542	-	321,542
1.5.1 Total				321,542	-	321,542
1.5.2	Asset management	11653	Asset Management and Planning	28,604	-	28,604
1.5.2 Total				28,604	-	28,604
1.5.3	Statutory/ Regulatory duties	11654	Statutory/ Regulatory duties	546,513	-	546,513
1.5.3 Total				546,513	-	546,513
1.6.4	Education Functions - former ESG		Education Functions	1,000,000	-	1,000,000
1.6.4 Total				1,000,000	-	1,000,000
1.9.1	Dedicated Schools Grant	10931	Dedicated Schools Grant	-	336,938,304	115,491,795
1.9.1 Total				-	336,938,304	115,491,795
1.9.4	Post 16 allocations from EFA	10041	Post16learnfund-MaintainSecond	-	5,000,000	-
1.9.4 Total				-	5,000,000	-
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(blank) Total						